



Financial Goals Worksheet

Goals by Priority

1

<i>Check your goals - then rank them by priority</i>	Important	Your rankings
Finance children's college education	_____	_____
Buy a new home (primary or vacation)	_____	_____
Buy a new car/boat/furniture/other personal property	_____	_____
Travel extensively	_____	_____
Reach the proper level of insurance protection for myself and my family	_____	_____
Save for retirement	_____	_____
Reduce/eliminate debt	_____	_____
Set-up a reserve/emergency fund	_____	_____
Be financially independent at age _____	_____	_____
Substantially contribute to favorite charity/institution	_____	_____
Invest in the stock market	_____	_____
Help support elderly parent(s)	_____	_____
Invest in real estate (land, rental property)	_____	_____
Start/buy/expand own business	_____	_____
Start a formal retirement plan for my business	_____	_____
Leave large estate for children	_____	_____
Other _____	_____	_____

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Goals

Below is a list of my/our financial goals, in the order of importance.

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____
7. _____
8. _____

My/Our Investment Policy

Investment Philosophy

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Attitude toward risk (Check only one)

You

Spouse

Conservative

(willing to assume only limited risk)

Average

(willing to assume moderate level of risk)

Aggressive

(risk tolerance is very high)

Overall, I rate my tolerance toward risk as

(1-10 with 1 = risk avoider and 10 = risk taker)

Investment Temperament

4

(Check only one)

You

Spouse

Very conservative and more interested in conserving capital than in making it grow. Willing to accept moderate income and nominal capital gains potential in exchange for relatively lower risk.

Interested only in high-quality investments and will be quite satisfied with a reasonable current return and some growth potential. Can tolerate risk that accompanies growth potential.

Liberal cash return with a chance for capital appreciation. Willing to accept risk of losing money on investments.

Can accept a lower level of income now in order to aim for capital appreciation and growth of income in the future. Willing to accept a higher risk of losing money on investments.

Willing to accept relatively high risks in exchange for possibility of above-average capital gains and appreciation.

Data Gathering

Goals/Investment Philosophy

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Client: _____

Date: _____

My Goals Are: _____
